

**FORMAT OF THE CLIENT REGISTRATION FORM FOR CORPORATES, FIRMS AND OTHERS**

*(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or in case of express permission of clients)*

**{Note: The member discloses herewith that it undertakes proprietary trading in addition to client business.}**

**This Form shall be valid for Capital Market, Futures and Options, Retail Debt Segment & WDM Segment**

---

TRADING MEMBER  
**MATA SECURITIES INDIA PVT. LTD.**  
E-29, Dhanraj Mahal, 2<sup>nd</sup> Floor,  
Chatrapati Shivaji Marg,  
Apollo Bunder,  
Mumbai – 400039.  
Tel: 2204 9102-04



**Investor Grievances Redressal email Id: [investor@matasec.com](mailto:investor@matasec.com)**

Compliance Officer Name : Amol Agrawal  
Contact Number : 022-2204 9100, 6634 8920  
Compliance Officer email ID : amol@matasec.com

SEBI Registration No. INB 230607131	NSE Cash Segment & WDM Segment
SEBI Registration No. INF 230607131	NSE Derivative Segment
SEBI Registration No. INB 010607134	BSE Cash Segment & ICDM Segment
NSE Trading Member No.	06071
BSE Trading & Clearing No.	0954

---

**FUTURES & OPTIONS SEGMENT**

TRADING MEMBER  
Mata Securities India Pvt. Ltd.  
E-29, Dhanraj Mahal, 2<sup>nd</sup> Floor,  
Chatrapati Shivaji Marg,  
Apollo Bunder,  
Mumbai – 400039.  
Tel: 66346282  
SEBI Registration No. INF 230607131

CLEARING MEMBER  
Mata Securities India Pvt. Ltd.  
E-29, Dhanraj Mahal, 2<sup>nd</sup> Floor,  
Chatrapati Shivaji Marg,  
Apollo Bunder,  
Mumbai – 400039.  
Tel: 66346282  
SEBI Registration No. INF 230607131  
NSE Clearing Member No. M50098

**1. Name of the Company / Firm:** \_\_\_\_\_

**2. Constitution** \_\_\_\_\_

**3. Registered Office address:** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

City: \_\_\_\_\_

Pin Code: \_\_\_\_\_

State: \_\_\_\_\_

Phone number(s): \_\_\_\_\_

Fax number(s) : \_\_\_\_\_

**4. Address for correspondence:** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

City: \_\_\_\_\_

Pin Code: \_\_\_\_\_

State: \_\_\_\_\_

Phone number(s): \_\_\_\_\_

Fax number(s): \_\_\_\_\_

**5. Date of incorporation /formation:** \_\_\_\_\_

**6. Date of commencement of business:** \_\_\_\_\_

**7. Nature of Business:** \_\_\_\_\_

**8. Registration number** \_\_\_\_\_  
(with ROC, SEBI or any government authority)

**9. Details of PAN Account Number:** \_\_\_\_\_

**10. Names of Promoters / Partners / Karta and residential address**

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**11. Names of whole time directors and residential address**

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**12. Names and Designation of persons authorized to deal in securities/ derivatives/ currency derivatives on behalf of the company / firm / others and their residential address**

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

**13. Details of any action taken by SEBI/Stock exchange/any other authority against the constituent or its partners / promoters / whole time directors / authorized persons in charge of dealing in equities/ F&O / currency derivatives for violation of laws / other economic offences.**

**14. Bank and Depository Account Details**

Bank Name (through which transactions will generally be routed): \_\_\_\_\_

Branch: \_\_\_\_\_

Address: \_\_\_\_\_

Account No: \_\_\_\_\_

Account Type: \_\_\_\_\_

*(Copy of a canceled Cheque leaf/pass book/bank statement containing name of the constituent should be submitted)*

**15. Depository Participant Name (through which securities will be routed):**

Address: \_\_\_\_\_

B.O Account Number: \_\_\_\_\_

**16. Investment/Trading Experience**

No Prior Experience

\_\_\_ Years in Stocks

\_\_\_ Years in Derivatives

\_\_\_ Years in Other investment related fields

**17. Trading Preference:**

A. Stock Exchanges on which you wish to trade (if the member is registered for such Exchanges):

1.

2.

3.

.....  
(Client Signature with Company Seal)

B. Market segments you wish to trade (if the member is registered for such segment):

1. Capital Market Segment
2. F & O Segment .....
3. Debt Market Segment (Client Signature with Company Seal)
4. Currency Derivatives Segment

**18. Whether registered with any other broker-member:** (if registered with multiple members, provide details of all)

Name of Broker: \_\_\_\_\_

Name of Exchange: \_\_\_\_\_

Client code no. (as given by the broker): \_\_\_\_\_

**19. References**

Introduction: Introduced by another constituent / director or employee of trading member / any other person (please specify)

Name of the Introducer: \_\_\_\_\_  
(Surname) (Name) (Middle name)

Signature \_\_\_\_\_

PAN of introducer, if any: \_\_\_\_\_

Name and designation of the employee who interviewed the client:

Name \_\_\_\_\_

Designation \_\_\_\_\_

Signature of the employee: \_\_\_\_\_

**20. Declaration**

I / We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / We undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am / We are aware that I / We may be held liable for it.

Signature of Authorised Signatory (with company seal)

Name: \_\_\_\_\_

Place: \_\_\_\_\_

Date: \_\_\_\_\_

**DOCUMENTARY REQUIREMENTS**

Copies of the following documents may be obtained after due verification with the originals thereof

1. Copies of the balance sheet for the last 2 financial years (copies of annual balance sheet to be submitted every year)

2. Copy of latest share holding pattern including list of all those holding more than 5% in the share capital of the company, duly certified by the company secretary / Whole –time director / MD. (copy of updated shareholding pattern to be submitted every year)

3. Copies of the Memorandum and Articles of Association in case of a company / body incorporate / partnership deed in case of a partnership firm

4. Copy of the Resolution of board of directors approving participation in equity / F&O / currency derivatives / debt trading and naming authorized persons for dealing in the same.

5. Photographs of Partners / Whole time directors, individual promoters holding 5% or more, either directly or indirectly, in the shareholding of the company and of persons authorized to deal in equity / F&O / currency derivatives / debt.

**For Office Purposes:**

Unique Client Code: \_\_\_\_\_  
(To Be Inserted By the Trading Member)

Original documents

Verified By \_\_\_\_\_

Authorised By: \_\_\_\_\_

Date: \_\_\_\_\_